

Update: Adviser Service Fee & Consent Form

From 10 January 2025, the following changes apply to the Adviser Service Fee & Consent Form. These changes are a result of Tranche 1 of the Delivering Better Financial Outcomes reforms.

The below changes apply to any new or existing ongoing fee arrangements where the Anniversary Date is after 10 January 2025:

1. 'Anniversary Date' is replaced with 'Reference Date'
2. The fee consent renewal period has been extended to 60 days before the Reference Date (and up to 150 days after the Reference Date).
3. For **existing fee arrangements**, the Reference Date can be changed within the period of consent. This can be any date up to a maximum of 12 months after the previous Anniversary or Reference Date.

Note: there is no change to the end date of your consent, which expires 150 days after the Reference Date.

This update forms a part of Adviser Service Fee & Consent Form.

Adviser Service Fee & Consent Form

Purpose of this form

Use this form to add, renew or change the Adviser Service Fees payable from your account.

Important notes

- Before any adviser fees can be deducted from your account, your adviser and ClearView are required by law to obtain your written consent. A consent is also required when varying the amount of an adviser fee.
- You can withdraw or vary your consent at any time by contacting us or your financial adviser.

A. Member details

WealthFoundations account number where fees are being deducted /
(e.g. CSUP/100000)

Given name(s)

Surname

Contact phone number

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Date of birth

B. Financial Adviser details

Adviser Code

Adviser name

Dealer Group

Adviser phone number

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Adviser email

C. Outline of the services being provided (tick all that apply)

- Strategic superannuation advice
- Retirement planning on your account
- Investment advice on your account
- Contribution strategy
- Insurance in superannuation strategy
- Withdrawal advice
- Other

D. Adviser Service Fees and consent details

Complete Section 1 for Ongoing fees and Section 2 for Ad-hoc fees.

1. Ongoing fees

What changes are being made to your ongoing Adviser Service Fees? (please tick below)

- I am setting up a new ongoing fee arrangement
- I am renewing and/or varying an existing ongoing fee arrangement

<p>Your period of consent</p> <p>What is the anniversary date?</p> <input type="text" value="DDMMYYYY"/>	<ul style="list-style-type: none">• Your consent lasts for 12 months and expires 150 days after your anniversary date.• New fee arrangements must provide a new anniversary date.• For existing fee arrangements the anniversary date (day and month) remains the same for the duration of the ongoing fee arrangement. Please contact our Service Centre if you'd like to confirm the anniversary date of your previous arrangement.
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When entering fees in this section, please show the amount inclusive of GST.

<p>Details of your ongoing dollar-based Adviser Service Fees (\$)</p> <p>\$ <input type="text"/> p.a.</p> <p>Would you like this indexed to CPI in June each year? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes:</p> <p>i. Provide a reasonable estimate of the total annual amount to be deducted from the account:</p> <p>\$ <input type="text"/> p.a.</p> <p>ii. Provide an explanation of the method used to work out the estimated amount:</p> <div style="border: 1px solid #ccc; height: 40px; width: 100%;"></div> <div style="border: 1px solid orange; padding: 5px;"><ul style="list-style-type: none">• The fee is stated as an annual amount, though the fees are deducted on a monthly basis.• Ongoing adviser fees are capped at \$5,500 p.a.</div>

AND/OR

<p>Details of your ongoing percentage-based Adviser Service Fees (%)</p> <p><input type="text"/> % p.a.</p> <p>i. Provide a reasonable estimate of the total annual amount to be deducted from the account:</p> <p>\$ <input type="text"/> p.a.</p> <p>ii. Provide an explanation of the method used to work out the estimated amount:</p> <div style="border: 1px solid #ccc; height: 40px; width: 100%;"></div> <div style="border: 1px solid orange; padding: 5px;"><ul style="list-style-type: none">• Ongoing adviser fees are capped at 1.1% p.a. and are deducted on a monthly basis.</div>

2. Ad-hoc fees

Please show the fee amount inclusive of GST.

<p>Add the following ad-hoc Adviser Service Fee:</p> <p>\$ <input type="text"/></p>	<ul style="list-style-type: none">• Ad-hoc fees are capped at \$5,500 p.a. and are deducted on a one-off basis.• Adding an ad-hoc fee will not affect any existing ongoing adviser fees that are in place.• Your consent expires once this amount is deducted from your account.
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E. Member declarations and signature

By signing this form, I:

- consent to the deduction of the adviser fees outlined in section D from my WealthFoundations account;
- direct that the amount(s) specified in section D be paid by the Trustee to the dealer group who will then pay the agreed fees to my financial adviser;
- declare that, if signing under a power of attorney, I verify that, at the time of signing, I have not received notice of revocation of that power.

Signature of member

Date signed

Note: If signing under a Power of attorney, please provide an original certified copy of the power of attorney.

F. Financial adviser declarations and signature

By signing this form, I:

- declare that I am authorised to sign on behalf of my dealer group and acknowledge and agree that the amounts specified in section D may cease or vary if requested by the member;
- confirm that the fees relate entirely to advice and the services that I will provide to the member in relation to their account specified above; and
- declare that I am authorised to provide advice in relation to the member's account under an Australian Financial Services Licence.

Signature of Financial Adviser

Date signed

Sending your form

Please send the form to us via your email address on file or mail.

Mailing address:

ClearView Wealth
Reply Paid 4232
Sydney NSW 2001

Email address:

client.wealth@clearview.com.au

If you have any questions or need help please call our Service Centre on **132 977**.

This document is issued by Equity Trustees Superannuation Limited (ABN 50 055 641 757, RSE Licence L0001458, AFSL 229757) as Trustee for ClearView Retirement Plan ABN 45 828 721 007 RSE Registration No R1001624.